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SAN CARLOS, CA 94070-6256  
650-631-3100 • 650-631-3150 FAX

**FACSIMILE TRANSMITTAL SHEET**

**TO:** U.S. Patent and Trademark Office                   **FROM:** Mark Wilson  
Missing Parts

**PHONE NUMBER:** (650) 620-5506

**FAX NUMBER:** (650) 631-3125

**PHONE NUMBER:** \_\_\_\_\_ **DATE:** October 15, 2004

**RE: U.S. Serial No10/751,009** **PAGES: 19**  
**(INCLUDING COVER)**

URGENT     FOR REVIEW     PLEASE COMMENT     PLEASE REPLY     PLEASE RECYCLE

**NOTES/COMMENTS:**

RECEIVED

OCT 18 2004  
OIE/JCWS

## **NOTICE OF CONFIDENTIALITY**

This transmission is intended only for the use of the Addressee and may contain information that is:  
1. Subject to attorney/client privilege; 2. Attorney work product; or 3. Confidential. If you are not the intended recipient, you are hereby notified that any dissemination, distribution or copying of the information contained in this facsimile is strictly unauthorized and prohibited. If you have received this facsimile in error, please notify us immediately by collect phone to the sender named above.

15 2004

Practitioner's Docket No. SHE0074.00

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Samuel P. McManus, Antoni Kozlowski, Xiaoming Shen, and Daniel C. Cook  
Application No.: 10/751,009 Group No.: Unassigned  
Filed: December 31, 2003 Examiner: Unassigned  
For: POLYMER DERIVATIVES AND CONJUGATES THEREOF

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**COMPLETION OF FILING REQUIREMENTS  
—NONPROVISIONAL APPLICATION**

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed August 16, 2004.**

A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

## **DECLARATION OR OATH**

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***

*(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)*

I hereby certify that, on the date shown below, this correspondence is being

## MAILING

\_\_\_\_ deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**37 C.F.R. § 1.8(a)**

37 C.F.R. § 1.10\*

as "Express Mail Post Office to Addressee"  
Mailing Label No. (mandatory)

## **TRANSMISSION**

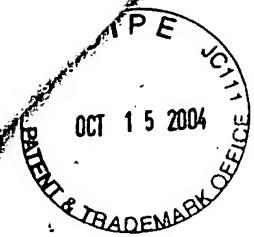
XX facsimile transmitted to the Patent and Trademark Office, (703) 746-4060

Date: 10/15/2004

Kathy Horner  
Signature

Kathy Honnert  
(name or print name of person certifying)

\* Only the date of filing ('1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under '1.8 continues to be taken into account in determining timeliness. See '1.703(f). Consider "Express Mail Post Office to Addressee" ('1.10) or facsimile transmission ('1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

**COMPLETION FEES****III.**

1.	Filing Fee	
	Original patent application	\$790.00
2.	Fees for Claims	
	Each independent claim in excess of 3	\$0.00
	Each claim in excess of 20	\$378.00
	Multiple dependent claim(s)	\$300.00
3.	Surcharge Fees	
	Late payment of filing fee and/or late filing of original declaration or oath	\$130.00
	Total Completion Fees	\$1,598.00

**EXTENSION OF TIME**

IV. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. § 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

**TOTAL FEE DUE**

V. The total fee due is:

Completion fees	\$1,598.00
Extension fee (if any)	\$0.00
Total Fee Due	\$1,598.00

**PAYMENT OF FEES**

VI. Authorization is hereby made to charge the amount of \$1,598.00 to Deposit Account No. 500348.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

*Mark A. Wilson* October 15, 2004

Signature of Practitioner  
Mark A. Wilson  
Nektar Therapeutics  
150 Industrial Road  
San Carlos, CA 94070

Reg. No.: 43,275  
Tel. No.: 650-631-3100  
Customer No.: 21968



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/751,009	12/31/2003	Samuel P. McManus	SHE0074.00

21968  
 NEKTAR THERAPEUTICS  
 150 INDUSTRIAL ROAD  
 SAN CARLOS, CA 94070

## CONFIRMATION NO. 5509

## FORMALITIES LETTER



\*OC000000013538932\*

Date Mailed: 08/16/2004



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$4886 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$5786 for a Large Entity

• \$770 Statutory basic filing fee.	10/18/2004 LWONDIM1 00000107 500348 10751009
• \$130 Late oath or declaration Surcharge.	01 FC:1001 790.00 DA
	02 FC:1051 130.00 DA
	03 FC:1203 300.00 DA
	04 FC:1202 378.00 DA

- Total additional claim fee(s) for this application is \$4886

- \$1032 for 12 independent claims over 3.
- \$3564 for 198 total claims over 20.
- \$290 for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

*C.B.*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE